



ACCOUNT OPENING FORM FOR INDIVIDUAL

FOR CSO USE ONLY

Form No.	
Client Code	
DP ID	
Branch Code	Mentioned here SB Branch Code
AP Code	Mentioned here Sub Broker AP Code
Ver: 3.7	Jan 2025

EQUITY & COMMODITY B2B

Application Type	☐ New ☐ Update
KYC Number	

INDEX OF DOCUMENTS

S. No.	Name of the Document	Brief Significance of the Document	Page No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
1.	KRA Form and Account Opening Form	A. KYC Form - Document captures the basic information about the constituent and an instruction/check list. B. Document captures the additional information about the constituent relevant to Trading/ Demat account & an instruction/check list.	1 to 5
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3.	A Client Declaration - Open Interest Position	A Client Declaration - Open Interest Position	7
4.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	SEPARATE COPY FOR CLIENT
5.	Rights and Obligations	Document stating the Rights & Obligations of stock broker/trading member, Authorised Person and client for trading on equity and commodity exchange (including additional rights & obligations in case of internet/wireless technology based trading).	
6.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities & commodities market.	
7.	Guidance note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
8.	Rights & Obligations of Beneficial Owners & Depository Participants:	Document stating the Rights & Obligations of Beneficial Owners and Depository Participants.	
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IMPORTANT NOTE

1. Signature Types:

 Signature of Client / First Holder	 Signature of Second Holder	 Signature of Third Holder
 Signature of Guardian	 Authorised Signatory of Member	 Signature of First Witness
		 Signature of Second Witness

2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

IMPORTANT INSTRUCTIONS

- A) Fields marked with* are mandatory fields
- B) Tick "✓" wherever applicable.
- C) Please fill the form in English and in BLOCK letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, Please tick (✓) in the box section number and strike off the sections not required to be updated
- F) Please read section wise detailed guidelines / instruction at the end.
- G) List of State / U.T code as per Indian Motor Vehicle Act, 1988 is available at the end.
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode

KYC CHECKLIST (PLEASE TICK WHEREVER APPROPRIATE)

Acceptable Documents (Copies of all documents to be self attested)		Please Tick
PAN Card	Account Holder & Joint Holder's (If any)	
Photograph	One Colored Front Face Photograph on photographic Paper (Passport Size)	
Proof of Identity (Any One)	1. Unique identification number (UID)(Aadhaar)	☐ KRA document used (Email Id & Phone number to be provided on given KRA Form)
	2. Valid Passport (Name, Address & Photo page)	
	3. Voter ID (front and back)	
	4. Valid Driving License (Name, Address & Photo page)	
	5. Proof of Possession Aadhaar, E-KYC Authentication & Offline Verification of Aadhaar.	
	6. NREGA Job Card, National Population Register Letter	
Proof of Address (Any One) Permanent / Correspondence	1. Valid Passport (Name, Address & Photo page)	☐ KRA document used  KYC Checklist
	2. Voter ID (front and back)	
	3. Valid Driving License (Name, Address & Photo page)	
	4. Unique identification number (UID)(Aadhaar)	
	5. Electricity Bill / Gas Bill (not more than 2 months old)	
	6. Resident Landline Tel. Bill (not more than 2 months old)	
	7. Registered Lease/Leave & License Agreement	
	8. NREGA Job Card, National Population Register Letter	
	9. Proof of Possession Aadhaar, E-KYC Authentication & Offline Verification of Aadhaar.	
Bank Proof With MICR / IFSC Code (1st Holder only)	1. Bank Statement / Bank Passbook with cheque leaf (not more than 3 months old)	
	2. Banker's Certificate on letter head of the Bank (ORIGINAL)	
	3. Cancelled Personalized Cheque leaf	
Demat Proof (Any One) (1st Holder only)	1. Client Master (CML copy duly attested by DP)	
	2. DP Statement / Transaction cum Holding Statement	
Proof of Income (Any One) (Mandatory for F&O / Currency / Commodity Segments)(1st Holder only)	1. Copy of ITR Acknowledgement	
	2. In case of salary income - Salary Slip, Copy of Form 16	
	3. Net-worth certificate (Not more than 1 year old)	
	4. Copy of Demat Account Holding Statement	
	5. Bank Account Statement for last 6 months reflecting income	

FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Client Name	Mentioned 1st Holder Name & 2nd Holder Name (ABC & XYZ)					
	Kindly tick mark	First/Sole Holder	Second Holder (if any)	Third Holder (if any)		
Are you U.S. Person? (Refer KYC Handout - Customer Copy)	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No		
Specify country of residence for tax purpose (Tax Residency)	☐ India ☐ Other	☐ India ☐ Other	☐ India ☐ Other	☐ India ☐ Other		
Specify country of citizenship	☐ India ☐ Other	☐ India ☐ Other	☐ India ☐ Other	☐ India ☐ Other		

Note: If you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self certification under FATCA / CRS. This declaration form can be downloaded from <http://www.angelone.in> or call at centralized helpdesk at 18001020 or write to support@angelone.in

 1st Holder Signature Required (First/Sole Holder Signature)	 2nd Holder Signature Required (Second Holder Signature)	 (Third Holder Signature)
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A Clarification/Guidelines on filling 'Personal Details' section

- 1 Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 One the following is mandatory: Mother's name, Spouse's name, Father's name.

B Clarification / Guidelines on filling 'Current Address details' section

- 1 In case of deemed PoA such as utility bill, etc. or self declaration, the document need not be uploaded on CKYCR
- 2 PoA to be submitted only if the submitted Pol does not have current address or address as per Pol is invalid or not in force.
- 3 State/U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 4 In Section 2, one of I, II, and III is to be selected. In case of online E-KYC authentication, II is to be selected.
- 5 In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
- 6 List of documents for "Deemed Proof of Address":

Document Code Description

- | | |
|---|---|
| 1 | Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill). |
| 2 | Property or Municipal tax receipt. |
| 3 | Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address. |
| 4 | Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation. |
- 7 Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
 - 8 "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
 - 9 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
 - 10 RES may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository

C Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2 Do not add '0' in the beginning of Mobile number.

D Clarification / Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person, if available.

E Clarification on Minor

- 1 Guardian details are optional for minors above 10 years of age for opening of bank account only
- 2 However, in case guardian details are available for minor above 10 years of age, the same (or CKYCR number of guardian) is to be uploaded.

List of two- digit state / U.T codes as per Indian MotorVehicle Act, 1988

State / U-T	Code	State / U-T	Code	State / U-T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO3166 two - digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY		PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT		WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO		ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG		SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY		SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML		SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH		SK
Australia	AU	French Guiana	GF	Martinique	MO	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR		SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT		ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM		SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC		LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME		SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA		SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM		CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR		TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL		TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ		TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE		TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU		TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP		TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM		TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW		UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA		AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY		US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH		UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL		VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR		VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands British	VG
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion !Réunion	RE		VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU		EH
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL		ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		

Kindly take one more print out for 2nd Holder Applicant (XYZ) and refer as per the below demo

Know Your Client (KYC)

Application Form (For Individuals Only)

Please fill the form in ENGLISH and in BLOCK letters

Fields marked * are mandatory

Fields marked* are pertaining to CKYC and mandatory only if processing CKYCalso



CDSL VENTURES LIMITED
...Exploring New Horizons



Application Number:

Application Type*: ☐ New KYC ☐ Modification KYC

KYC Mode*: Please Tick(✓)

☒ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker

☒ 1. PERSONAL DETAILS (Please refer instruction A at the end)

☐ Name* (Same as ID proof) ☐ Prefix _____ **Mentioned the 1st Holder Name as per PAN Card**
(If any*) Maiden Name _____ **Mentioned the 1st Holder maiden name if applicable**
Father / Spouse Name _____ **Mentioned the 1st Holder father/spouse name**
Mother Name _____ **Mentioned the 1st Holder mother name**
Date of Birth* _____ **Mentioned the 1st Holder DOB (DD/MM/YYYY)**
PAN* _____ **Mentioned 1st Holder PAN**
Gender* ☐ M- Male ☐ F- Female ☐ T-Transgender
Marital Status* ☐ Married ☐ Unmarried ☐ Others _____
Residential Status* ☐ Resident Individual ☐ Non Resident Indian
☐ Foreign National ☐ Person of Indian Origin
Nationality* ☐ Indian ☐ Others
Kindly tick mark

PHOTO

Kindly affix 1st Holder photograph and do cross signature across photograph

Signature / Thumb Impression

☒ 2. PROOF OF IDENTITY AND ADDRESS* (Please refer instruction B at the end)

Kindly tick mark

(Certified copy of any one of the following Proof of Identity[Pol] needs to be submitted)

I Certified copy of OVD or equivalent d-documents of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- I ☐ A- Passport Number _____
☐ B- Voter ID Card _____
☐ C- PAN Card _____
☐ D- Driving Licence _____ Driving Licence Expiry Date DD - MM - YYYY
☐ E- UID (Aadhaar) _____
☐ F- NREGA Job Card _____
☐ G- Others(any document notified by the central government) _____ Identification Number _____
☐ H- National Population Register Letter _____ Identification Number _____
☐ I- Proof of Possession of Aadhar _____
II ☐ E-KYC Authentication _____
III ☐ Offline verification of Aadhar _____

Address

Line 1* _____ **Mentioned registered address as per Aadhar Card**
Line 2 _____
Line 3 _____ City / Town / Village* _____
District* _____ Pin / Post Code* _____ State / U.T Code* _____ ISO 3166 Country Code* _____
State* _____ Country* _____

☒ 3. CURRENT ADDRESS DETAILS (Please refer instructions B at the end)

Kindly tick mark

☐ Same as above mentioned address (in such cases address details as below need not be provided)

I Certified copy of OVD or equivalent d-documents of OVD or OVD obtained through digital KYC process needs to be submitted (anyone of the following OVDs)

- I ☐ A- Passport Number _____
☐ B- Voter ID Card _____
☐ C- PAN Card _____
☐ D- Driving Licence _____ Driving Licence Expiry Date DD - MM - YYYY
☐ E- UID (Aadhaar) _____
☐ F- NREGA Job Card _____
☐ G- Others(any document notified by the central government) _____ Identification Number _____
☐ H- National Population Register Letter _____ Identification Number _____
☐ I- Proof of Possession of Aadhar _____
II ☐ E-KYC Authentication _____
III ☐ Offline verification of Aadhar _____

Address

Line 1*

Line 2

Line 3

District*

Pin / Post Code*

State / U.T Code*

ISO 3166 Country Code*

State*

Country*

4. CORRESPONDENCE / LOCAL ADDRESS DETAILS *(Please see instruction B at the end)

Same as Current / Permanent / Overseas Address details (In case of multiple correspondence / local addresses, please fill 'Annexure A1')

I

A- Passport Number

B- Voter ID Card

C- PAN Card

D- Driving Licence

E- UID (Aadhaar)

F- NREGA Job Card

G- Others(any document notified by the central government)

H- National Population Register Letter

I- Proof of Possession of Aadhar

Driving Licence Expiry Date

Identification Number

Identification Number

II

E-KYC Authentication

III

Offline verification of Aadhar

Address

Line 1*

Line 2

Line 3

District*

Pin / Post Code*

State / U.T Code*

ISO 3166 Country Code*

State*

Country*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID)(Please refer instruction C at the end)

Tel. (Off)

FAX

Tel. (Res)

Email ID

Mobile

REMARKS (If any)

6. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under -take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.
I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.
I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.
I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Applicant e- SIGN

Applicant Wet Signature

PLACE:

DATE:

1st Holder Signature Required in Wet signature only for Physical Onboarding

7. For Office Use Only

Documents Received

Digital KYC Process

E-KYC data received from UIDAI

Data received from Offline verification

Certified Copies

Equivalent e-documents

Video based KYC

In-Person Verification (IPV) carried out by*

Intermediary Details*

Date

Emp. Name

Emp. Code

Emp. Designation

Emp. Branch

Self certified document copies received (OVD)

True Copies of documents received (Attested)

AMC / Intermediary Name :

Seal/Stamp of the Intermediary

Employee Signature and Stamp

Seal/Stamp of the Intermediary

Ver: 3.7

2

TRADING & DEMAT ACCOUNT RELATED DETAILS

TYPE OF ACCOUNT : ☒ Individual

Sub Status

☐ Resident

☐ Other

A. BANK ACCOUNT DETAILS - Payin / Payout of funds will be routed through the said bank account only

Bank Name, Branch and Address:

Kindly mentioned details as per the cheque copy or bank statement

Account No.

☐ Savings

☐ Current

MICR Code

IFSC Code

B. DEPOSITORY ACCOUNT DETAILS (DPI DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)

	DP 1 Details	DP 2 Details
Depository Name	☒ CDSL	☐ CDSL ☐ NSDL
DP Name	Angel One Ltd	
Beneficiary Name		
DP ID	12033201, 12033202 & 12033203	
BO ID		

Pledge instruction - I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my / our end.

☐ Yes

☐ No

"I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes') [Automatic Credit]"

☐ Yes

☐ No

C. MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)

Kindly tick mark

☐ Jointly

☐ Anyone of the Holder

Consent for Communication to be received by first account holder/ all Account holder: (Tick the applicable box. If not marked the default option would be first holder.

Kindly tick mark

☐ First Holder

☐ All Holder

☐ Second Holder

☐ Third Holder

Email id

Kindly mention Email ID

D. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade.

Please strike off the segment not chosen by you.

Exchanges	NSE, BSE & MSEI				MCX NCDEX, BSE & NSE
All Segments	Cash/ Mutal Fund	F & O	Currency	Debt	Commodity Derivatives

Signature of Both Holders in the relevant box where client wish to trade. Kindly struck off the segment boxes if not selected



If you do not wish to trade in any of segments/ Mutual Fund, please mention here _____

D. STANDING INSTRUCTIONS / AUTHORIZATION FROM THE CLIENT		Kindly tick mark on all options as per client requirement
Contract Note/Holding & Transaction Statement including CAS/Other Documents* (For ECN in commodity segment, please refer pg. no. 9)	☐ Electronic ☐ Physical	
Receive Delivery Instruction Slip	☐ No ☐ Yes	
Share Email ID with Registrar & Transfer Agent	☐ No ☐ Yes	
Receive Annual Report	☐ Electronic ☐ Physical ☐ Both	
DP Account Statement	☐ Monthly ☐ Fortnightly ☐ Weekly ☐ As per SEBI Regulation	
Declaration for Mobile Number	☐ Self ☐ Spouse ☐ Child ☐ Parent	
Declaration for Email ID	☐ Self ☐ Spouse ☐ Child ☐ Parent ☐ Do not have	
Bill-to-bill settlement	☐ Yes ☐ No	
Running Account Settlement	☐ Monthly ☐ Quarterly	
Whether you wish to avail of the facility of internet trading / wireless technology (please specify)	☐ Yes ☐ No	
Margin Trading Facility (Refer Rights & Obligation document)	☐ Yes ☐ No	
SMS Alert Facility - Mandatory if you are giving Power Of Attorney (PoA). Ensure that the mobile number is provided in the KYC Application Form.	☐ Yes ☐ No	
Note: - Divided / Interest will be credited to designated bank account via ECS. - In case client doesn't opt for DIS booklet, it would be issued on request at any later date. - BO can view his ISIN balances, transactions & value portfolio online. To register for Easi please visit website www.cdslindia.com *Other documents includes SEBI prescribed standard documents i.e. Rights & Obligation documents for trading and depository account, Risk Disclosure Document & Guidance Note or any other communication / document disseminated by Angel One. - Angel may carry out proprietary trades in addition to cliental trades.		
E. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002		Kindly tick mark on all options as per client requirement
Experience	Number of years of Investment / Trading Experience	
Gross Annual Income	☐ Below 1 lac ☐ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs ☐ >1 Crore OR Net Worth in ₹ (*Net worth should not be older than 1 year) as on date DD / MM / YYYY	
Occupation (Please tick)	☐ Govt Service ☐ Professional ☐ Private Sector Service ☐ Public Sector ☐ Business ☐ Retired ☐ Agriculturist ☐ Housewife ☐ Student ☐ Others (Pls Specify) _____	
Nature of Business	☐ Manufacturing ☐ Services ☐ Trading ☐ Consultancy ☐ Others _____	
Client Category	☐ Value chain participant ☐ Exporter ☐ Importer ☐ Hedger ☐ Financial participant ☐ Trader ☐ Arbitrager ☐ Other	
Commercial participant		
Noncommercial participant		
Is the Client Politically Exposed Person (PEP) or Related to a PEP		
☐ PEP ☐ Related to PEP ☐ Not a PEP / RPEP		
F. PAST ACTIONS		Kindly tick mark
Details of any action / proceedings initiated / pending / taken by SEBI / Exchanges / any other authority against the applicant / constituent or its Partners / promoters / whole time directors / authorized persons in charge of dealing in securities / commodities during the last 3 years:		
☐ No If yes, please specify		
G. GST REGISTRATION DETAILS		
Registration No	Validity Date	Name of the State
GSTIN No:	DD / MM / YYYY	

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

H. DEALING THROUGH OTHER STOCK BROKERS

If Yes, please specify whether dealing with any other stock broker (if case dealing with multiple stock brokers, provide details of all)

Name of Stock Broker

Name of Exchange

Client Code (as given by other broker)

Details of disputes/dues pending from/to such stock broker:

I. INTRODUCER DETAILS

Kindly mentioned all the details

Status of Introducer ☐ AP ☐ Employee ☐ Existing Client ☐ Others (Specify):

Introducer Name:

AP/Emp/Client Code:

Address:

Mobile No:

Signature of the Introducer

Signature of
Introducer

I. CLIENT DECLARATION

1. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.

2. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.



1st Holder Signature
Required

(First/Sole Holder Signature)



2nd Holder Signature
Required

(Second Holder Signature)



(Third Holder Signature)

Trading cum Demat Tariff for Individual- Premier Plan

☒ **BSDA category**

☐ **Non BSDA category**

	Holding Value upto Rs. 4,00,000/-	Holding Value more than Rs. 4,00,000/ and upto Rs. 10,00,000/-	
DP AMC Charges	Nil	Free for 1 year From 2nd year – 100/- p.a + Taxes.	Free for 1 year INR 60 per quarter for Non-BSDA accounts from 2nd year onwards.
Equity Delivery	INR 20 or 0.1% whichever is lower per executed order (minimum brokerage of INR 2 will be levied)	INR 20 or 0.1% whichever is lower per executed order (minimum brokerage of INR 2 will be levied)	INR 20 or 0.1% whichever is lower per executed order (minimum brokerage of INR 2 will be levied)
Intra-day	INR 20 or 0.03% whichever is lower per executed order	INR 20 or 0.03% whichever is lower per executed order	INR 20 or 0.03% whichever is lower per executed order
Futures, Options, Commodity, Currency	INR 20 per executed order	INR 20 per executed order	INR 20 per executed order
Call and Trade Charges	Rs. 20	Rs. 20	Rs. 20
Transaction charges for sell (Debit) & Inter-settlement Debit per transaction (Refer Note No. 6 a)	Rs. 20	Rs. 20	Rs. 20
Auto square off charges	Rs. 20	Rs. 20	Rs. 20
Pledge / Unpledge Creation/ Closure/ Invocation (Refer Note No. 6 b)	Rs. 20	Rs. 20	Rs. 20
Demat/Remat (Per Certificate) Additional DIS requisition Additional Statement Physical Contract Note	Rs. 50	Rs. 50	Rs. 50
Cheque Bounce Charges	Rs. 350	Rs. 350	Rs. 350
Delay Payment Charges (DPC) on outstanding bill amount if not paid within due date	1.5 % Per month (levied every 15 days) for normal trades.	1.5 % Per month (levied every 15 days) for normal trades.	1.5 % Per month (levied every 15 days) for normal trades.
Interest on outstanding dues under Margin Trading Facility (MTF)	14.99% per annum (Levied every 15 days)	14.99% per annum (Levied every 15 days)	14.99% per annum (Levied every 15 days)

Notes :

- Brokerage is levied per executed order across all segments Brokerage is also charged on expired, exercised and assigned options contract. Brokerage levied to your trading account is subject to the maximum rates prescribed by the regulator (s) from time to time.
- Stamp Duty, GST, Education Cess & Statutory Levies will be charged as applicable. Details of such charges are provided here-
<https://www.angelone.in/exchange-transaction-charges>. The said levies are subject to statutory and regulatory changes, as notified by the Government/Regulators/Exchanges from time to time.
- Above tariff is subject to change. Price Changes, if any, (other than statutory/regulatory price changes as stipulated in Point 2. above) will be notified 30 (thirty) days in advance.
- For availing "Easiest" facility of CDSL, the charges as levied by CDSL would be collected from client at actuals
- In case of BSDA, if there are no transactions in any quarter, no transaction statement will be sent for that quarter.
- a) Depository Participant Charges: Rs 20 + GST, split as below
Male: INR 3.5 (CDSL) + INR 16.5 (Angel One Charge)
Female: INR 3.25 (CDSL) + INR 16.75 (Angel One Charge)

b) Pledge / Unpledge Charges:
Margin pledge/unpledge/invoke: INR 20 + GST, split as below:
INR 5 (CDSL) + INR 15 (Angel One Charge) + GST
MTF pledge/unpledge/invoke: INR 20 + GST split as below:
INR 12 (CDSL) + INR 8 (Angel One Charge) + GST
CUSPA pledge/unpledge/invoke: INR 20 + GST split as below:
INR 5 (CDSL) + INR 15 (Angel One Charge) + GST
- BSDA facility is subject to approval from CDSL. You will be eligible for BSDA subject to following conditions:
 - The individual has or proposes to have only one demat account where he/she is the sole or first holder.
 - The individual shall have only one BSDA in his/her name across all depositories
 - Value of securities held in the demat account shall not exceed 10 Lakhs for debt and other than debt securities combined at any point of time.
- If you do not wish to avail BSDA facilities, you will have to specifically provide your consent on support@angelone.in by way of email from your registered e-mail ID to avail the facility of regular demat account.
- Interest on Cash Collateral Margin Shortfall – 12.5% per annum chargeable every 15 days.



**1st Holder Signature
Required**

(First/Sole Holder Signature)



**2nd Holder Signature
Required**

(Second Holder Signature)



(Third Holder Signature)

PLEASE TICK WHICHEVER IS APPLICABLE

☒ A. CLIENT DECLARATION - OPEN INTEREST POSITION

I/We, the undersigned, have taken cognizance of circulars issued by SEBI / Commodity Exchanges from time to time on the guidelines for calculation of net open positions permitted in any commodity and I/we hereby undertake to comply with the same. I/ We hereby declare and undertake that I/ we will not exceed the position limits prescribed from time to time by Commodity Exchanges or SEBI and such position limits will be calculated in accordance with the circulars on position limits as modified from time to time.

I/We undertake to inform you and keep you informed if I / any of our partners / directors I karta I trustee or any of the partnership firms/companies / HUFs / Trusts in which I or any of above such

person is a partner / director I karta I trustee, takes or holds any position in any commodity forward contract I commodity derivative on Exchanges through or through any other member (s) or Exchanges, to enable you to restrict our position limit as prescribed by the above referred circular of Exchanges as modified from time to time.

I / We confirm that you have agreed to enter order in commodity forward contracts I commodity derivatives for me / us as your clients on Commodity Exchanges only on the basis of our above assurances and undertaking.

I/We further undertake to bear any liable/penalty/charges levied by Commodity Exchanges/SEBI.

☒ B. CONSENT TO INSTRUCTION TO MAINTAIN RUNNING ACCOUNT (VOLUNTARY)

Retention statement -Clause -- I / We request you to maintain my/our accounts for funds on running accounts basis instead of 'bill to bill' settlement basis, unless I/we specifically request you for a payout of available free funds in the account. You may settle the accounts at Monthly/Quarterly (as opted in the account opening form) or at such other intervals as SEBI/ Exchanges may specify from time to time. I / We further authorize you to retain funds as may be permitted by Exchanges/SEBI from time to time

or towards other unbilled services and/or charges applicable on my account, while settling the accounts.

I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.

☒ C. CONSENT FOR ELECTRONIC CONTRACT NOTE (ECN) - DECLARATION (VOLUNTARY)

I, _____, Client Code _____
PAN _____, Registered with you as a client of NSE, BSE, MSEI, Multi Commodity Exchange of India Ltd (MCX), and/or National Commodity & Derivative Exchange Ltd (NCDEX), undertake as follows:

- I am aware that the Member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all trades carried out/ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is _____ (the email id must be written in own handwriting). This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.
- In the event of the Contract notes (ECN) not received by me/us in electronic mode due to the mail bouncing/failure of email servers, loss of connectivity etc. I/we agree to acknowledge the receipt of Contract Notes in physical mode, provided such Contract Notes are received by me/us within the time specified by regulatory authorities. In case you do not receive intimation of the mail bouncing, the same will be construed as my/our confirmation to the receipt of Contract Notes (ECN) in electronic mode and you will not be required to send the Contract Notes in physical mode. In this connection, I/we hereby confirm that I/we am/are agreeable to receive Contract Notes in digital mode on my/our under mentioned email id.

I/We understand that I/we am/are required to intimate any changes in the e mail id mentioned above needs to be communicated by me/us.

[The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

Verification of the client signature done by,

Authorised Signatory
of Member



Designation:

Date: DD/MM/YY

Client Signature



1st Holder Signature
Required

Date: Kindly mention

Place Kindly mention

Most Important Terms and Conditions (For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.



**1st Holder Signature
Required**

(First/Sole Holder Signature)

Place: **Kindly mention** _____



**2nd Holder Signature
Required**

(Second Holder Signature)



TH

(Third Holder Signature)

Date: **Kindly mention**

Policy on Handling of Good Till Cancelled Orders of Client.

The GTT feature allows you to place a limit order, at the limit price selected by you (the order price) and a trigger price (the trigger price), only when the trigger price is met, your GTT order will be sent to the Exchange for execution at the order price. In case the order is triggered on any day and the order price is not exactly met, all such orders will be automatically cancelled and removed from the GTT queue at Angel One Limited at the end of trading session on the same day. All GTT orders triggered and sent to the Exchange, if not executed for any other reasons on the same day, will also be automatically cancelled. You will need to place the same GTT orders again.

- All GTT orders that do not meet the trigger price in 365 days shall be cancelled. 365 days shall be counted as consecutive calendar days from the date of placing the orders
- In case there is a change in exchange series or any corporate actions, such as; splits, bonuses, dividends, merger, reverse mergers, amalgamations, takeover, delisting, rights issue, etc. where there is a significant impact/change in the scrip price, the GTT will be cancelled at the sole discretion of Angel, one day prior to the Ex-date of such corporate action effect taking place on the stock price
- We shall also provide an intimation to the clients about the upcoming corporate actions at least 5 days prior to the Ex-date of corporate action.



**1st Holder Signature
Required**

(First/Sole Holder Signature)



**2nd Holder Signature
Required**

(Second Holder Signature)



(Third Holder Signature)

Place: Kindly mention

Date: Kindly mention

VOLUNTARY TERMS AND CONDITIONS (EQUITY & COMMODITY)

Whereas the client intends to open securities / commodities trading accounts with Angel One Ltd., (hereinafter referred as Angel) for the purpose of trading in Capital Market Segment, Futures & Options and Currency Derivative Segments and Commodities Futures & Options of the National Stock Exchange of India Ltd., the Bombay Stock Exchange Ltd., the Metropolitan Stock Exchange of India Limited, Multi Commodity Exchange, National Commodity & Derivatives Exchange Limited and Mutual Fund transactions Facilities offered by Stock Exchange and whereas for the purpose of more fully and conveniently availing of the services agreed to be provided by Angel and also the additional services that may be made available by Angel from time to time, the Client, on its own free will and volition, agrees to accept and be bound by the following terms and conditions. The Client understands that these terms and conditions are voluntary i.e, non-mandatory in nature but on their acceptance, these shall constitute the contract between the parties and bind them fully and be enforceable by each party against the other.

1. Authorization to debit additional charges with regard to Trading and Demat Account: Without prejudice to the other rights and obligations of the parties, the client understands and agrees that Angel may levy additional charges including Annual Maintenance Charges and all transaction charges with respect to Clients Demat account / Counter Demat account for any service rendered by Angel and as may be required by the Client, and recover from the Client all reasonable costs, as may be incidental or consequential for rendering the said services. The said charges will be debited to the clients ledger account with AngelOne.

2. Lien: All securities, commodities funds and/or properties (Any assets available with Angel) of the Client as may be permitted by the Exchange(s) from time to time to be placed with Angel shall be subject to a lien for the payments or fulfillment of all undischarged liabilities and obligations of the Client in relation to its transactions or owing to any of the group companies of Angel. Angel shall be entitled to withhold such securities, commodities, funds and/or property of the Client as security towards any such un-discharged liabilities or obligation of the Client and to sell and/or appropriate to itself all such securities, commodities, funds or properties at its sole discretion & at any point of time, without notice to client.

3. Authorization for delivering / pledging shares: The client understands and agrees that Angel may deliver to the Exchange any securities held by it on behalf of the client to discharge settlement obligations in respect of securities sold by the client or pledge the same with the clearing house of the recognized stock exchange in any segment where the Client is registered for trading for the purpose of providing margin for the trading positions contracted or to be contracted by the Client or with any scheduled commercial bank, Non-Banking Financial Institution or other financial institution for raising funds to the extent account of the client carries debit balance but without any obligation on its part to so raise funds by pledging the securities and without prejudice to the right of Angel to enforce, at its option, the collateral security in the securities to recover the debit balance at any time.

4. Authorization for Inter segment fund balance transfer and stock transfers: The client hereby authorizes Angel to transfer its debit/credit balances in the ledger account arising during the course of trades in any segment including commodities segment to its ledger account in any other segment including commodities segment or to transfer any stock purchased/lying in its account in any segment including commodities segment to its account in any other segment as often as may be required. The transfers may be completed by passing journal entries in the books of Angel.

5. Disclaimer: The Client understands and agrees that neither Angel nor

any other party disseminating any market data, message or information through the Website of Angel or in any other media shall be liable for: (a) Any inaccuracy, error, omission or delay in the transmission or delivery of any such data, information or message, or (b) Any loss or damage arising from or occasioned by (i) Any such inaccuracy, error, delay or omission, (ii) Nonperformance, or (iii) Interruption in making available any such data, information or message, due to either any act or omission by Angel or any disseminating party or to any "force majeure" (e.g. flood, extraordinary weather condition, earthquake or other act of nature, fire, war, insurrection, riot, labour dispute/unrest, accident, action of government, communications or power failure, equipment or software malfunction) or any other cause beyond the reasonable control of Angel or any disseminating party.

6. Manner/Mode of placing orders/instructions & Nonexecution/ delay/cancellation of Orders: The Client may communicate orders and other instructions to Angel or the subbroker/ authorised person as the case may be over phone at the designated contact telephone number, or in writing, or through designated email, or by personally visiting the designated office. The client hereby agrees that Angel or the Exchanges shall not be liable for non execution or partial execution of any orders caused due to suspension, interruption, or malfunctioning of the online as well as offline trading services, disruptions or congestion of communication net works, hardware or software problems, or failure of the electronic trading beyond the control of Angel or the Exchange.

7. Client not to act on representations of agents, employees: Client is aware that Angel has not authorized any agents, representatives, employees or other persons to make any representation, or to give any promise, assurance, warranty, undertaking or commitment as to return on investment of the Client whether in writing or otherwise on behalf of Angel.

8. Recording of Conversation: The client is aware and agrees that Angel may tape record the conversation between the client/client's representative and Angel, whether over the telephone or in person, as per applicable laws, rules and regulations of SEBI / Exchanges. Angel may produce before competent authorities, voluntarily or on such production being required by such authorities, recorded conversation or transcript thereof or both as valid evidence of the content of the conversation so recorded.

9. Confidentiality of Client Details: Angel may disclose the client information to any person /entity as required under the law or to any broker's Association or organisations in case of dispute in order to take informed decision. The Client hereby agrees and give its consents for the disclosure by Angel to any person or entity including but not limited to any independent third parties or any entities of Angel Group, whether within or outside India, or credit bureau of any information and data relating to Client or relating to Client's trading account with Angel for the purposes of or in connection with, any present or proposed initiatives, including but not limited to any marketing or cross sell initiatives, business proposals, activities, facilities or services availed of or to be availed, by Client in future.

10. The client agrees that Angel may appoint agents, credit bureau for carrying out the acts mentioned in or in relation to rendering its services and hereby consent to share his account related information, reports issued by such credit bureau to any such parties for various Regulatory and other purposes.

11. Disclosure as to Proprietary Trades by Angel: Angel may carry out proprietary trades in addition to trades on behalf of its Clients.

12. Severance: In case any one or more of the terms and conditions contained in this document become invalid, illegal or unenforceable in any respect under any applicable law, the validity, legality and enforceability of the remaining provisions contained herein shall not in any way be affected or impaired thereby.
13. No Waiver: No forbearance, relaxation or inaction by any party to require from the other performance or discharge of any obligation to be performed or discharged by the other under this document shall in any way affect, diminish, or prejudice the right of such party to require of the other party at any time such performance or discharge, or performance or discharge of any other obligations under this document or be considered to be a waiver of any rights, unless the waiver is specifically agreed in writing.
14. Notices: All notices or communications issued by Angel shall be served on the Client in any one or more or all of the following ways at the ordinary business address and/or ordinary place of residence and/or last known address of the client:
- 14.1(a) By ordinary post or (b) By registered post or (c) By express delivery post or (d) by SMS on registered mobile or by telephonic call or (e) By affixing it on the door at the last known business or residential address or (f) By oral communication to the party or on the last known telephone number or on the recording machine of such number or (g) By advertising it in at least one prominent daily newspaper having circulation in the area where the last known business or residential address of the client is situated or (h) By publishing it on the website of Angel wherein secured log-id and password to Client is provided or (i) By a notice posted on the notice board of the Exchange if no address be known or (j) By electronic mail or fax or (k) By hand delivery or By Courier or any other approved mode as may be allowed for communication.
- 14.2 Notwithstanding anything stated above, communication relating to orders, margins, maintenance calls and other similar matters in the ordinary course of dealings between Angel and the Client may be made orally.
15. Electronic Contract Note (ECN):
- 15.1. Client agree to receive contract notes in Electronic/Digital Form (ECN) authenticated by means of a digital signature in lieu of Physical Contract notes through e-mail by authorizing Angel in this connection and providing the e-mail address(es) at which the Client wishes the ECN to be sent.
- 15.2. The Client shall access and verify the ECN and all information contained therein, In case of discrepancy the Client, shall inform Angel either in writing or via E-mail within reasonable time of the receipt of the same. Angel shall also publish the Contract Note on the Web site www.angelone.in or on any other designated location specified by Angel from time to time. The Client will be issued a login and password by which the Client can login in to his account and view/save/print the ECN. Should the Client experience any difficulty in opening the ECN, Angel may, on advice by the Client, make the Contract Note available by any other means (e-mail, electronic mail attachment, or in the form of an available download from the back office web site or by delivery of a hard copy). Client's failure to advice Angel of such a difficulty shall amount to valid delivery and viewing of the document by the Client.
16. Electronic Transmission of other Documents: The Client who have opted for ECN agrees that Angel may transmit to the Client any statements, documents or intimation including, but not limited to, Margin Statement, Statements of Funds and Securities, margin and maintenance calls & other notices /communications document mandated by SEBI/Stock Exchange/Depository in electronic mode either at the e-mail ID designated for delivery of ECN or to the mobile number of the Client or both and, in case of non receipt of bounced mail/non delivery of SMS notification, Angel shall be deemed to have fulfilled his obligation to deliver to the Client such documents. Discrepancies if any in documents should be brought to the notice of Angel within reasonable time from issuance failing which the documents shall be deemed to be true and correct record of transactions stated therein.
17. Electronic Payment Gateway for Net Banking Services: Angel may provide on its internet trading website, without additional cost to the Client, access to Electronic Payment Gateways provided by various banks for facilitating transfer of funds from Client's bank account to the account of the Client with Angel. Client understands that Angel is only providing access to the electronic fund transfer facility provided by the banker of the Client through Angel's website by means of an interface and is not liable or responsible for the proper functioning or otherwise of the Gateway or for any transaction errors, losses, malfunctioning or hacking of the system by unscrupulous elements, frauds, and/or any incidental or consequential claims arising thereout. Client undertakes not to make Angel a party to any litigation, claim, dispute, difference or complaint that the Client may initiate in respect of, arising out of or in connection with any transactions on the Gateway and agrees that Angel's liability shall at all time be limited to the amount actually received in its account by electronic transfer from Client's account with the Bank.
18. Internet / Wireless Technology based Trading facility:
- 18.1. Angel offers Internet and mobile Trading facility for transaction in securities on the concerned Exchanges including facilities for online application of IPO / FPO / NFO / Bond issues or any other issues of securities or services to apply/purchase/redeem/sale/buyback or otherwise deal in the units of Mutual Funds (hereinafter referred to as "the Internet/wireless Trading system") through Exchange approved software. The Client can route its orders to Angel over the internet/mobile/laptop with data card or any other devices which use internet protocol for purchasing, selling and dealing in securities. The Client may avail of such Trading facility provided by Angel by complying with the formalities prescribed therefore.
- 18.2. Non-usage of Internet/Wireless Trading Facility: If the Client does not use the Internet/Wireless Trading Facility for a continuous period of 3 months or such other period as Angel may notify, the facility may be deactivated without notice and the Client shall comply with the prescribed formalities for reactivating the facility. Trades can, however, be executed at all time by placing orders off-line with the concerned branch of Angel.
- 18.3. The client understands and agrees that Angel has different product of the Internet Trading /Wireless Trading softwares which have been approved by the Exchanges and the client shall be allotted such product as may be chosen by him. The client also understands and agrees that depending on the trading activity of

the client, Angel shall have the exclusive right and liberty to change the product version allotted to the client and allot a different product version of the Internet Trading/Wireless Trading facility.

17.4. Orders of Client subject to review by Angel: The Client agrees that the Angel may, on being suspicious of any of the transactions, review any order placed by a Client, which may cause delays in the processing of the Client's order or may result in rejection of such order."

18. Extra Ordinary Events and termination/suspension of trading facility: Angel will not be liable for losses caused directly or indirectly by government restriction, Exchange rulings, suspension of trading, computer, communication, telephone or system failure, war, earthquakes, flood, accident, power failure, equipment or software malfunction, lack of connectivity, congestion or disruption of communication net-work or links, software glitches or corruption, low processing speed, strikes or any other conditions beyond Angel's control resulting in nonexecution, partial or incomplete execution of orders and the resulting financial loss, if any Angel may at any time terminate, discontinue or temporarily suspend trading facility provided to the Client in the event of any such extraordinary event occurring without giving prior notice to the Client.

19. Amendments to the terms and conditions : Angel reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying, or varying the provisions thereof by giving 15 days' notice to the Client (other than in respect of Stamp Duty, GST, Education Cess & Statutory Levies for which Angel shall provide a 30 (thirty) days' prior notice or such other period permitted under applicable law as detailed in this form). In the event where the client has not objected to revised terms and conditions within 15 days of receiving such notification, the same shall be binding on the client. The terms and conditions and amendments made by Angel from time to time is available on companies' website <https://www.angelone.in/>

20. Mutual Fund Service System Facility / BSE Star MF: Client is registered with AngelOne and has executed Know Your Form and certain other documents for the purpose of trading in securities market on the recognized Stock Exchange (herein after referred as "Exchange"). In case client opts for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the SEBI recognized Stock Exchanges (Mutual Fund Transaction Facilities). Know Your Client details as submitted by the client for the stock broking shall be considered for the purpose of Mutual fund transaction facilities and abide by the terms and conditions as mentioned in the circulars as may be specified by the Exchanges from time to time in this regard. Client shall also ensure compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI). Client shall read & understand the contents of the Scheme Information Document and Key Information Memorandum, addendum issued regarding each Mutual Fund Schemes with respect to which client choose to subscribe / redeem. Client further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes. Client confirm to have read & understood the terms & conditions for using Mutual Fund transaction facility as stated in KYC handout (customer copy). Client is aware that he/she can transact directly with the AMC without recourse to Distributor's name at any time under the folio(s) tagged with the Distributor's name/broker code. The Client agrees and authorizes Angel as distributor of mutual funds to communicate on his/her behalf with the AMCs for financial and /or non-financial transactions including for receiving investment details from the AMC.

21. Parties agree that all claims, differences or disputes between them, arising out of or in relation to this mandatory and voluntary client / registration document, any dealings and transactions made subject to the Bye laws, Rules and Regulations of the Exchanges

shall be submitted to arbitration for resolution in accordance with the provisions of the Arbitration and Conciliation Act, 1996 and the Byelaws and Regulations of the concerned Exchange. Provided, however, that recourse shall not be had to the arbitration, the grievance redressal mechanisms or to the investor protection schemes of the of the Exchanges or SEBI where the claims, differences or disputes exclusively arise out of or relate to any contracts entered into; transactions carried out; schemes, leagues or competition joined; other arrangements or understandings reached or relations established by the client with a group concern or associate of the Stock Broker or any third parties and to which the Byelaws, Rules and Regulations of the Exchange are not attracted and the Client understands and agrees that any application for invoking the arbitration/grievance redressal mechanisms or investor protection schemes of the Exchange in relation to any such dispute, claims or differences shall be liable to be dismissed.

22. Setting up of Exposure Limits:

22.1. Angel may sanction trading limits to the Client based on the margin lying to the credit of the Client in the form of found / securities / bank guarantees / fixed deposit receipts. Angel at its sole direction may refused to accept ant securities as collateral / margin. Angel shall from time to time publish a list of securities which would be acceptable as collateral / margin. In setting exposure limits for the Client, Angel shall be entitled to consider such factors as it may deem fit, including without limitation, the client's risk profile, risk appetite, loss bearing capacity, payment history, market volatility, risk management policy of Angel and such other purpose. Angel reserves liberty to vary the trading / exposure limits of the Client depending upon its risk assessment from time to time having regard to the changes in any of the factors or market conditions bearing on the risk profile of the Client.

22.2 Neither Angel, nor any affiliate of Angle nor their respective directors, officers, employees, agent shall any circumstances be liable for any direct or indirect loss, cost, liability, expense or damage (including without limitation all legal fees & expenses) arising from any variation or reduction of exposure or turnover limits by Angel.

23. Client to have a Mobile connection: Client agrees to have a mobile connection as a pre-condition to opening & maintaining the a/c with Angel & undertakes to notify Angel promptly whenever he/she/it obtains a new mobile connection in replacement of a mobile previously notified to Angel.

24. Aggregation of open market positions of the Client by the exchange: Client agrees that if the Exchange directs / advise Angel to reduce the exposure of the Client in any outstanding open interest in any contract, because the market exposure of the client in the contract, individually, or taken together with that of related parties, exceeds the maximum allowable open position prescribed by the Exchange for an individual client or for all clients of Angel in the contract, Angel May square off the open market positions of the client in the contract as directed by the Exchange. client also agrees that, though Angel may on a best effort basis inform the client of the direction given by the Exchange, it is under no obligation to inform the client and/or obtain client's consent prior to squaring of the positions under this clause and all losses, if any, arising from such squaring off shall be to the account of the client.

25. Payment of Full Contract Value: Client agrees to pay Angel for all open buy positions, excepting both side option contract positions, full contract value less the free surplus fund of the client, if any, already with Angel, one day prior to the commencement of the tender period for the respective contract, and If that day is a bank holiday, on the

day immediately preceding that day which is bank working day. If the Client makes a default in making payment as above, Angel at its discretion may square off all or part of the open positions of the Client and all and any losses arising thereout shall be to the account of the client.

26. Execute / Self / Wash / Match trades: Orders of buy and sell placed at such rates and which such time intervals / frequencies, and particularly in contracts considered illiquid, may be rejected or cancelled by Angel without prejudice to its other rights to impose penalty on the client and/or deregister the client. Angel may also report such instances to the Exchange or the Regulator for appropriate action at their end.

27. Use of services of Authorised Person :

I/We hereby authorize Angel One Limited to disclose details of my/our account as mentioned in the account opening form, supporting documents submitted therewith and/or any other information pertaining to my/our account including the trades/transactions carried out through my/our account to the Authorised Person or such agents by whatever name they are called by affiliated to Angel One Limited.

For the purpose of the aforesaid Clause Authorised Person shall mean any Company/Person and/or its employees appointed by Angel One Limited in this regard;

In case the Authorised Person registered with the Exchange, I/We hereby authorize Angel One Limited to grant access and/or permit the Authorised Person all the rights as governed by the existing regulatory provisions including any changes issued from time to

time.

In case the Authorised person is Mutual Funds certified, hereinafter referred to as Independent Financial Provider for Mutual Fund ("IFPMF"), I/We hereby authorize Angel One Limited to grant access and/or permit the IFPMF to view all trades and transactions carried out through my/our account and share details of such trades and transactions to enable the IFPMF to track my/our investments and provide necessary assistance accordingly.

28. The Client hereby agrees and provides Angel and its group companies its unconditional and express consent to use, access, disclose to, store and share with any third parties the information (whether sensitive, personal, proprietary or otherwise) provided by the Client whether for processing, storage or any other purposes as deemed fit by Angel and its group companies, including, for the purposes of providing services by Angel Group. In the event, the Client wishes to revoke the consent to use/store/process the said information, in part or in full, it shall provide a prior written notice for such withdrawal of consent to support@angelone.in pursuant to which Angel and / or its group companies, as the case maybe, shall stop using/processing/retaining such information within prescribed timelines as per applicable laws. Notwithstanding anything to the contrary contained here in above, Angel and / or its group companies shall however retain the right to store such information or provide the information to any regulatory/statutory/judicial/government body/exchange as the case maybe, as per the applicable laws.

I/We hereby state & confirm that I have read & understood the terms & conditions as mentioned above & agree to abide by the same.

RIGHTS AND OBLIGATIONS RELATING TO MARGIN TRADING FACILITY PROVIDED TO CLIENTS (VOLUNTARY)

1. Angel is permitted to extend MTF to the clients on such terms and conditions as specified by the Stock Exchanges / SEBI from time to time and as mutually agreed by and between Angel and the Clients. This Rights and Obligation comprises the terms and conditions applicable to MTF and Angel and clients shall abide by the same and any other requirements of the margin trading framework, including other rights and obligations, if any, prescribed by the Stock Exchange/ SEBI/ Angel form time to time. Angel reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying, or varying the provisions thereof by giving 15 days' notice to the Client (other than in respect of Stamp Duty, GST, Education Cess & Statutory Levies for which Angel shall provide a 30 (thirty) days' prior notice or such other period permitted under applicable law as detailed in this form). In the event where the client has not objected to revised terms and conditions within 15 days of receiving such notification, the same shall be binding on the client. The terms and conditions and amendments made by Angel from time to time is available on companies' website www.angelone.in.
2. Equity Shares that are classified as 'Group I Security' by SEBI only shall be eligible for MTF. Angel, at its discretion, may not provide funding under MTF to certain equity shares though classified to be "Group I Security" by SEBI. Equity shares shortlisted by Angel for funding (Approved List) shall be as displayed on Angel's trading

website from time to time.

3. Initial margin, increased margin, margin shortage, margin calls, maximum allowable exposure, maximum stock specific exposure, trade confirmation, square off intimation and such other information in relation to MTF shall be communicated to the Clients electronically through one or many or all of the following modes, viz email, SMS, WhatsApp, mobile notifications, and additionally through telephone calls.
4. In order to avail of margin facility, the minimum initial margin required to be provided by the Clients, as prescribed by SEBI/Stock Exchanges, is as under: VaR + 3 times of applicable ELM in case of F & O Stocks (i.e., stocks available for trading in the F&O Segment. VaR + 5 times of applicable ELM in case of stocks other than F & O Stocks. VaR and ELM shall mean VaR and ELM as applicable to respective stocks in the cash segment.
5. Client shall be required to provide the minimum initial margin as applicable for a particular stock to buy that stock under MTF. The margin shall never be lower than that prescribed by the Stock Exchange/SEBI. However, Angel shall have the right to demand a higher initial margin than the margin prescribed by SEBI/Stock Exchanges.

6. Subject to the initial margin as aforesaid, Angel may, at its sole and absolute discretion, revise and increase from time to time the margin required for any stock permitted to be traded under MTF. Where client has exposure in the stock in respect of which margin has been revised but does not already have sufficient credit in the account to meet increase in margin, Client shall pay margin found short within the time prescribed for making margin payment.
7. Applicable minimum initial margin, increased margin, margin shortfall, if any, can be paid in the form of cash, cash equivalent, or Group Equity shares with appropriate hair cut as specified in SEBI Master Circular No. SEBI/HO/MRD/DP/CIR/P/2016/135, DTD. 6/12/2016. Client shall have the right to change collateral securities provided under the MTF with other collateral securities provided that such other collateral securities are approved and sufficient to meet the margin required.
8. Margin requirement on shares purchased under MTF shall be computed by grossing applicable margin i.e., minimum initial margin plus increased margin, if any, on each stock and shortage computed accordingly by deducting available margin from gross margin. Collateral shares and shares purchased under MTF (Funded Shares) shall be marked to market daily for the purpose of computing the margin/shortage of margin.
9. Applicable minimum initial margin and increased margin, if any, shall be kept supplied at all times by the clients in respect of the stocks purchased under the MTF. Client shall pay any shortage in the required margin immediately on receiving demand (margin call) and in any case not exceeding 5 working days from the day of making margin call failing which Angel shall be at liberty to liquidate the funded shares and/or collateral shares to recover the dues outstanding in the account of the Clients. Priority of shares to be liquidated shall depend on the parameters set forth in the Risk Management policy of the company as applicable from time to time. In case of extreme volatility in the market, Angel may demand payment of margin forthwith and prescribed time for making margin payment shall be construed accordingly. Decision of Angel in relation to market volatility shall be final and binding without Angel having to provide any reason for the decision to the Client.
10. Client in margin default shall continue to be in margin default, until the required margin is furnished in full to eliminate the shortage. Partial payment of margin or a change in the required margin shall not extend the time stipulated for making margin payment which will run from the time of making margin call to the Client.
11. In case margin is reduced by an amount equal to applicable ELM component of the total margin due to market volatility within a trading day (i.e., available margin becomes equal to or less than applicable VAR margin), Angel reserves the right to liquidate the collaterals and/or funded shares forthwith without prior notice to the client.
12. MTF Clients purchasing shares not specified in Angel Approved List of Group 1 securities shall be required to 100% margin upfront for such purchases.
13. Client shall inform the broker of its intent to shift the identified transaction under Margin Trading Facility within the time lines specified by the broker failing which the transaction will be treated under the normal trading facility.
14. If a client is debarred by orders of lawful authority from trading in the securities market, Angel shall liquidate collateral and funded shares of the client to recover its dues to the full extent forthwith.
15. Stock broker make amendments in RMS policy at any time but give effect to such policy after the amendments are duly communicated to the clients registered under the Margin Trading Facility.
16. Any transaction to be considered for exposure to MTF shall be determined as per the policy of the broker provided that such determination shall happen not later than T + 1 day.
17. Angel reserves the right to withdraw MTF with respect to any Client without assigning any reason after giving a reasonable notice to the Client in which case dues if any outstanding in the account of the Client shall become payable immediately. Failure to make payment of the outstanding dues shall result in liquidation of collateral and/or funded shares held in Client's account.
18. Client may terminate the MTF account after paying all dues in the MTF account.
19. Amendments to the terms and conditions : Angel reserves the right to amend the terms and conditions herein contained by adding, deleting, modifying, or varying the provisions thereof by giving 15 days' notice to the Client (other than in respect of Stamp Duty, GST, Education Cess & Statutory Levies for which Angel shall provide a 30 (thirty) days' prior notice or such other period permitted under applicable law as detailed in this form). In the event where the client has not objected to revised terms and conditions within 15 days of receiving such notification, the same shall be binding on the client. The terms and conditions and amendments made by Angel from time to time is available on companies' website www.angelone.in
20. The stocks deposited as margin collateral and funded stock shall be identifiable separately and no comingling shall be permitted for the purpose of computing funding amount.
21. The MTF facility may be withdrawn by the broker, in the event of client committing any breach of any terms or conditions therein or at anytime after due intimation to client allowing such time to liquidate the MTF position as per the agreed liquidation terms without assigning any reason. Similarly, client may opt to terminate the margin trading facility in the event of broker committing any breach of any terms or conditions therein or for any other reason.
22. In the event of termination of this arrangement, the client shall forthwith settle the dues of the Stock Broker. The Stock Broker shall be entitled to immediately adjust the Margin Amount against the dues of the client, and the client hereby authorizes the Stock Broker to make such adjustment.
23. Angel shall restrict the maximum gross exposure as well as individual stock-wise exposure of a client under the MTF at any point in time according to its internal policies and market views without assigning any reasons to the client. Furnishing applicable margin shall not by itself entitle the client to seek exposure beyond the limit restricted by Angel.
24. Admitting clients for MTF shall be at the discretion of the Stock Broker/Trading Member. Clients request for admission to MTF may be disallowed without assigning any reason.

25. By agreeing to avail of MTF, the client shall be deemed to have authorized Angel to retain and/or pledge the shares purchased under MTF (funded shares) and collateral shares provided as margin till the amount due in respect of the purchase and all other dues are paid in full by the Client.

26. All outstanding dues under MTF shall carry interest upto @14.99% p.a, compounded at fortnightly rest.

27. Client shall be free to take the delivery of the securities at any time by repaying the amounts that was paid by the Stock Broker to the Exchange towards securities after paying all dues.

28. Until full payment of the outstanding dues in the MTF A/c is made by the Client, collateral shares and funded shares, as far as may be required, shall be retained in the Demat A/c of Angel, separately identified as collateral shares and funded shares.

29. Daily margin statement sent to the MTF clients shall identify margin/collateral for MTF transaction separately.

30. Angel shall declare and communicate to the Client risk management policies that it will follow with respect to MTF transactions. Angel may amend the policies from time to time according to its risk perceptions and inform the Clients of the amendments made.

31. Any disputes arising between the client and Angel in connection with the margin trading facility shall be resolved through the investor grievance redressal mechanism and/or arbitration mechanism of the stock exchanges as in the case of normal trades.

32. The Rights and Obligations prescribed here in above shall be read in conjunction with the rights and obligations as prescribed under SEBI circular No. CIR/ MIRSD/ 16/ 2011 dated August 22, 2011, SEBI Circular No. CIR/MRD/DP/54/2017 Dtd. June 13, 2017, the Circulars relating to MTF issued by the respective Stock Exchanges, any modifications thereto from time to time and the Policies and Procedures prescribed by Angel and the terms and conditions of client's agreement with Angel. In case of any inconsistencies between the Rights and Obligations herein and the provisions in the aforesaid SEBI and/or Stock Exchange Circulars, the later shall prevail to the extent of such inconsistencies.

33. MTF account where there is no transaction under MTF for more than 90 days shall be settled immediately on expiry of said 90 days provided there are no dues outstanding in the MTF account. Dues if any outstanding in the normal trading account shall be first adjusted against the settlement amount and the remainder shall be paid to the Client.

34. The Client hereby agrees, acknowledges and undertakes that it shall comply with the guidelines issued by SEBI/ Depository for BSDA facility from time to time. The Client agrees that in the event the demat account opened under BSDA facility does not or fails to meet the eligibility criteria for BSDA facility as per the guidelines issued by SEBI/Exchanges or any such authority at any point of time, the BSDA account under these terms and conditions will be converted to a regular demat account without further references to the Client and such account shall be levied charges as applicable to regular accounts as informed by the Depository Participant. The Client shall have no claims against Angel for such action due to Client's failure to meet such eligibility criteria for availing BSDA facility.

I would like to avail of Margin Trading Facility and agree to the above Rights & Obligation

Client Signature



1st Holder Signature
Required

BSE RIGHTS AND OBLIGATIONS RELATING TO MARGIN TRADING FACILITY PROVIDED BY STOCK BROKER/ TRADING MEMBER TO CLIENTS

1. Stock Broker/ Trading Member is eligible to provide Margin Trading Facility (MTF) in accordance with SEBI & Exchange Guidelines as specified from time to time.
 2. Stock Broker/ Trading Member desirous of extending MTF to their clients is required to obtain prior permission of BSE. Stock Broker/ Trading Member may note that BSE has the right to withdraw the permission at anytime.
 3. Stock Broker/ Trading Member shall extend the MTF to the client, on such terms and conditions as specified by the Stock Exchange / SEBI from time to time. Stock Broker/ Trading Member and the client shall abide by the requirements of the margin trading framework, including rights and obligations, as prescribed by Stock Exchange/ SEBI/ Stock Broker/ Trading Member.
 4. Stock Broker/ Trading Member shall intimate all the terms and conditions, including maximum allowable exposure, specific stock exposures etc., as well as the rights and obligations to the client desirous of availing MTF.
 5. Stock Broker/ Trading Member may, at its sole and absolute discretion, increase the limit of initial and/or maintenance margin, from time to time. The Client shall abide by such revision, and where there is an upward revision of such margin amount, he agrees to make up the shortfall within such time as the Stock Broker/ Trading Member may permit. It may however, be noted that the initial/ maintenance margins shall never be lower than that prescribed by Stock Exchange/ SEBI.
 6. Stock Broker/ Trading Member shall provide MTF only in respect of such shares, as may be permitted by Stock Exchange/ SEBI.
 7. Stock Broker/ Trading Member shall liquidate the securities and other collateral, if the client fails to meet the margin call to comply with the margin requirement as specified by Stock Exchange/ SEBI/ Stock Broker/ Trading Member. In this regard, Stock Broker/ Trading Member shall also list down situations/ conditions in the which the securities may be liquidated (Stock Broker/ Trading Member to list down situations/ conditions):
 8. Stock Broker/ Trading Member shall not use the funds of one client to provide MTF to another client, even if the same is authorized by the first client.
 9. The stocks deposited as collateral with the Stock Broker/ Trading Member for availing margin trading facility (Collaterals) and the stocks purchased under the margin trading facility (Funded stocks) shall be identifiable separately and no comingling shall be permitted for the purpose of computing funding amount
 10. IPF shall not be available for transactions done on the Stock Exchange, through MTF, in case of any losses suffered in connection with the MTF availed by the client.
- The rights and obligations prescribed hereinabove shall be read in conjunction with the rights and obligations as prescribed under SEBI circular no. CIR/ MIRSD/ 16/ 2011 dated August 22, 2011.

NSE RIGHTS AND OBLIGATIONS RELATING TO MARGIN TRADING FACILITY PROVIDED BY STOCK BROKER/ TRADING MEMBER TO CLIENTS

CLIENT RIGHTS

1. Client shall receive all communications in a mode mutually agreed between the broker and the client regarding confirmation of orders/trades, margin calls, decision to liquidate the position / security.
2. Client shall be free to take the delivery of the securities at any time by repaying the amounts that was paid by the Stock Broker to the Exchange towards securities after paying all dues.
3. Client has a right to change the securities collateral offered for Margin Trading Facility at any time so long as the securities so offered are approved for margin trading facility.
4. Client may close / terminate the Margin Trading Account at any time after paying the dues.
2. Client shall inform the broker of its intent to shift the identified transaction under Margin Trading Facility within the time lines specified by the broker failing which the transaction will be treated under the normal trading facility
3. Client shall place the margin amounts as the Stock Broker may specify to the client from time to time.
4. On receipt of 'margin call', the client shall make good such deficiency in the amount of margin placed with the Stock Broker within such time as the Stock Broker may specify.
5. By agreeing to avail Margin Trading Facility with the broker, client is deemed to have authorized the broker to retain and/or pledge the securities provided as collateral or purchased under the Margin Trading Facility till the amount due in respect of the said transaction including the dues to the broker is paid in full by the client.

CLIENT OBLIGATIONS

1. Client shall, in writing in his own hand or in any irrefutable electronic method, agree to avail of Margin Trading Facility in accordance with the terms and conditions of Margin Trading Facility offered by the broker, method of communication for confirmation of orders/trades, margin calls and calls for liquidation of collateral/security/position.
6. Client shall lodge protest or disagreement with any transaction done under the margin trading facility within the timelines as may be agreed between the client and broker.

STOCK BROKER RIGHTS

1. Stock Broker and client may agree between themselves the terms and condition including commercial terms if any before

commencement of MTF.

2. Stock broker may set up its own risk management policy that will be applicable to the transactions done under the Margin Trading Facility. Stock broker may make amendments there to at any time but give effect to such policy after the amendments are duly communicated to the clients registered under the Margin Trading Facility.
3. The broker has a right to retain and/or pledge the securities provided as collateral or the securities bought by the client under the Margin Trading Facility.
4. The broker may liquidate the securities if the client fails to meet the margin call made by the broker as mutually agreed of liquidation terms but not exceeding 5 working days from the day of margin call.

STOCK BROKER OBLIGATIONS

1. Stock broker shall agree with the client the terms and condition before extending Margin Trading Facility to such client. However, for clients who already have existing trading relationship and want to avail of Margin Trading Facility, stock broker may take consent in writing in his own hand or in any irrefutable electronic method after stock broker has communicated the terms and conditions of Margin Trading Facility to such existing clients.
2. The terms and conditions of Margin Trading Facility shall be identified separately, in a distinct section if given as a part of account opening agreement.
3. The mode of communication of order confirmation, margin calls or liquidation of position/security shall be as agreed between the broker and the client and shall be in writing in his own hand or in any irrefutable electronic method. Stock broker shall prescribe and communicate its margin policies on haircuts/ VAR margins subject to minimum requirements specified by SEBI and exchanges from time to time.
4. The Stock Broker shall monitor and review on a continuous basis the client's positions with regard to MTF. It is desirable that appropriate alert mechanism is set up through which clients are alerted on possible breach of margin requirements.
5. Any transaction to be considered for exposure to MTF shall be determined as per the policy of the broker provided that such determination shall happen not later than T + 1 day.
6. If the transaction is entered under margin trading account, there will not be any further confirmation that it is margin trading transaction other than contract note.
7. In case the determination happens after the issuance of contract, the broker shall issue appropriate records to communicate to Client the change in status of transaction from Normal to Margin trading and should include information like the original contract number and the margin statement and the changed data.
8. The Stock Broker shall make a 'margin call' requiring the client to

place such margin; any such call shall clearly indicate the additional/deficient margin to be made good.

9. Time period for liquidation of position/security shall be in accordance declared policy of the broker as applicable to all MTF clients consistently. However, the same should not be later than 5 working (trading) days from the day of 'margin call'. If securities are liquidated, the contract note issued for such margin call related transactions shall carry an asterisk or identifier that the transaction has arisen out of margin call.
10. The daily margin statements sent by broker to the client shall identify the margin/collateral for Margin Trading separately.
11. Margin Trading Accounts where there was no transactions for 90 days shall be settled immediately.
12. The stocks deposited as collateral with the stock broker for availing margin trading facility (Collaterals) and the stocks purchased under the margin trading facility (Funded stocks) shall be identifiable separately and there shall not be any comingling for the purpose of computing funding amount;
13. Stock Broker shall close/terminate the account of the client forthwith upon receipt of such request from the client subject to the condition that the client has paid dues under Margin Trading Facility.

TERMINATION OF RELATIONSHIP

1. The margin trading arrangement between the stock broker and the client shall be terminated; if the Stock Exchange, for any reason, withdraws the margin trading facility provided to the Stock Broker or the Stock Broker surrenders the facility or the Stock Broker ceases to be a member of the stock exchange.
2. The MTF facility may be withdrawn by the broker, in the event of client committing any breach of any terms or conditions therein or at anytime after due intimation to client allowing such time to liquidate the MTF position as per the agreed liquidation terms without assigning any reason. Similarly, client may opt to terminate the margin trading facility in the event of broker committing any breach of any terms or conditions therein or for any other reason.
3. In the event of termination of this arrangement, the client shall forthwith settle the dues of the Stock Broker. The Stock Broker shall be entitled to immediately adjust the Margin Amount against the dues of the client, and the client hereby authorizes the Stock Broker to make such adjustment.
4. After such adjustment, if any further amount is due from the client to the Stock Broker, the client shall settle the same forthwith. Upon full settlement of all the dues of the client to the Stock Broker, the Stock Broker shall release the balance amount to the client.
5. If the client opts to terminate the margin trading facility, broker shall forthwith return to the client all the collaterals provided and funded securities retained on payment of all the dues by clients.

I confirm that I have read and understood the Rights and Obligations related to Margin Trading Facility (MTF) issued by Exchanges and will adhere to the same

Client Signature



**1st Holder Signature
Required**

If client wants to give Nominee then fill up all the required details

ANNEXURE-A NOMINEE DETAILS FOR TRADING AND DEMAT ACCOUNT				
Client Name		Trading Code		DP ID 12033201, 12033202 & 12033203 Client ID
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.				
Nominee Details	Nominee 1	Nominee 2	Nominee 3	
Name of the nominee(s)(Mr./Ms.)*	Nominee 1st Name			
Middle Name	Nominee Middle Name			
Last Name	Nominee Last Name			
Address	Nominee Full Address			
City / Place				
State & Country				
PIN				
Mobile / Telephone	Nominee Contact No			
PAN	Nominee PAN			
Date of birth (mandatory if Nominee is a minor) dd-mm-yyyy	Nominee DOB			
*Percentage of allocation of securities Equally [If not equally, please specify percentage] Or Share of each Nominee	Nominee %			
Any odd lot after division shall be transferred to the first nominee mentioned in the form				
Relationship With the Applicant (If Any)	Nominee Relationship			
Email ID of nominee (s)	Nominee Email ID			
Nominee Identification details – [Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank Account No ☐ Proof of Identity ☐ Demat Account ID	Kindly tick mark			
GUARDIAN DETAILS (IF NOMINEE IS A MINOR)				
Name	Guardian Name			
Address	Guardian Address			
City / Place				
State & Country				
PIN				
Relationship with Nominee	Guardian Relationship			
Email ID of Guardian	Guardian Email ID			
Mobile / Telephone	Guardian Contact No			
Guardian Identification details – [Please tick any one of following and provide details of same] ☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank Account No ☐ Proof of Identity ☐ Demat Account ID	Kindly tick mark			
 1st Holder Signature Required (First/Sole Holder Signature)  2nd Holder Signature Required (Second Holder Signature)  3rd Holder Signature Required (Third Holder Signature) Client's Name → First Holder Name Second Holder Name Third Holder Name				

Date	Kindly mention		
Place			
* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature			
Name	Name of Witness		
Address	Address of Witness		
Signature	Signature of Witness		
Residual securities: in case of multiple nominees, remaining after distribution of securities as per percentage of allocation shall be transferred to the first nominee			
OFFICE USE ONLY			
(To be filled by Depository Participant)			
DP ID	12033201, 12033202 & 12033203	Client ID	
Nomination form accepted and registered vide Registration No.: _____			
I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.			
		Seal/Stamp of the Intermediary	
Signature of the Authorised Signatory			
ACKNOWLEDGMENT RECIEPT			
APPLICATION NO		Date	DD MM YY
We hereby acknowledge the receipt of the Account Opening and Nomination Application Form: This nomination shall supersede any prior nomination made by the account holder (s), if any. -			

If client does not wish to give Nominee then fill up all the required details

DECLARATION FORM FOR OPTING OUT OF NOMINATION

To	Date	Kindly mentioned	Y	Y	Y	Y
Angel One Limited 601, 6th Floor, Ackruti Star, Central Road, MIDC, Andheri East, Mumbai - 400093.						
UCC/DP ID						
Client ID (only for Demat account)						
Sole/First Holder Name	1st Holder Name					
Second Holder Name	2nd Holder Name					
Third Holder Name						
I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ demat account/ Trading account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / Trading account / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account						

Name and Signature of Holder(s)*

 1st Holder Signature Required	 2nd Holder Signature Required	
(First/Sole Holder Signature)	(Second Holder Signature)	(Third Holder Signature)

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Name	Name of Witness		
Address	Address of Witness		
Signature	Signature of Witness		

POWER OF ATTORNEY - POA (VOLUNTARY) AS PER EQUITY

DULY STAMPED

TO ALL WHOM THESE PRESENTS SHALL COME I/we **Client's Name** _____, (name of the BO, with full address), Indian inhabitant / Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Service (India) Limited, with Angel One Ltd. (a Depository Participant registered with CDSL bearing DP-ID 12033201, 12033202 & 12033203.

And Whereas I/we are registered as a client with Angel One Ltd. (trading member of Bombay Stock Exchange Ltd., National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange Ltd., and Multi Commodity Exchange of India Ltd For dealing in the securities market)

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTHAT I/We THE ABOVE NAMED DO HEREBY NOMINATE, CONSTITUTE / AND APPOINT M/s Angel One Ltd. As my/our true and lawful attorney (here in after referred to as the Attorney) for me/us and on my/our behalf and in my/our name to do the following:

1. To apply for and subscribe, to on my/our instructions, to various products like Initial Public Offerings, Public Issues (shares as well as debentures), Rights etc., including redemption where applicable, through online / offline platform and to perform, do, undertake, discharge all incidental and ancillary, deeds, matters, things, functions and obligations in connection therewith.
2. I/we authorize my/our said Attorney to send me/us consolidated summary of scrip wise buy and sell positions, subscriptions to IPOs, Mutual Funds and other products by way of short messaging services or e-mails whenever such transactions are carried out on my/our behalf.
3. The said Attorney shall return to me/us the Securities that may have been received by it erroneously or that it was not entitled to receive.
4. I/we do hereby ratify and confirm and agree to ratify and confirm whatsoever my/our said Attorney shall have lawfully done or may lawfully do or cause to be done by virtue of or in exercise of any power hereby granted, given authorised or implied or intended to be so granted, given or authorised and also all lawful acts, deeds, matters and things done by the said Attorney of the nature mentioned above or incidental or relating thereto or arising there-from or deemed by my/our Attorney to be requisite or expedient to be done or performed in exercise if any power herein.
5. I/we further agree and confirm that the powers and authorities conferred by this Power of Attorney shall continue to be good, valid and effective until revoked by me/us in writing given to my Attorney and that the Power of Attorney shall not be affected by lapse of time. This Power shall continue in full force and effect until my/our Attorney shall received written notice of revocation thereof, signed by me/us; in the event of termination thereof my/our death, until my/our Attorney shall have received actual notice thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney with reference to any transactions initiated by my/our Attorney, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above. Further, without prejudice to the generality of the aforesaid, such revocation of this Power of Attorney, in so far as any transactions, settlement of which is pending on the date of receipt of notice of revocation by my/our Attorney is concerned, shall become effective only after all pending obligations in respect of such transactions are settled on the respective settlement dates and all dues owing by me/us in connection therewith have been fully paid by me/us to the Attorney. Further, such revocation of the power and authority given to my/our Attorney hereby shall in no way affect the validity of any acts, deeds or things done or action taken by my/our Attorney for discharging any of my/our settlement obligations in respect of any transactions settlement of which is pending on the date of receipt of the notice of revocation by the Attorney.

1st Holder Signature Required (First/Sole Holder Signature) **2nd Holder Signature Required** (Second Holder Signature) **TH** (Third Holder Signature)

I/We accept (For Angel One Ltd.)

Signature of Witness: **FW** **Signature of Witness**

Name: **Name of Witness**

Address: **Address of Witness**

Authorised Signature: _____

Date: _____

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name	Demat A/c No.	Exchange	Name / Type	Account Purpose	CMBPID
ANGEL ONE LIMITED	1203320006951435	NSE	CDSL - NSE POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1203320008188083	NSESLB	CDSL - SLBM POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1100001100017670	NSE	NSE EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1100002300001190	NSESLB	SLBM EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1203320186015090	NSE	CDSL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ICICI BANK LIMITED	IN30134820306643	NSE	NSDL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ANGEL ONE LIMITED	1203320030135829	NSE	CLIENT SECURITIES MARGIN FUNDING ACCOUNT	MFT Pledge Account	
ANGEL ONE LIMITED	1203320030135814	NSE	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	Margin Pledge Account	
ILFS	IN30009510184021	NSE	NSDL - NSE POOL ACCOUNT	Payin & Payout Account	IN556929
HDFC BANK	IN30012610003588	BSE	NSDL BSE POOL ACCOUNT	Payout Account	In606125
ANGEL ONE LIMITED	1203320000006564	BSE	CDSL BSE POOL ACCOUNT	Payout Account	
ANGEL ONE LIMITED	1203320000006579	BSE	CDSL BSE PRINCIPAL ACCOUNT	Payin Account	
ANGEL ONE LIMITED	1100001000014641	BSE	BSE EARLY PAY IN	Early Payin Account	

DEMAT DEBIT AND PLEDGE INSTRUCTION -DDPI (VOLUNATRY)

DULY STAMPED

Client's Name

TO ALL TO WHOM THESE PRESENTS SHALL COME I/we _____, (name of the BO),
Indian inhabitant/Non-resident SEND GREETINGS.

Whereas I/we hold a Beneficiary account no. _____ (BO-ID) with Central Depository Services (India) Limited,
with Angel One Ltd (a Depository Participant registered with CDSL) bearing DP-ID 12033201, 12033202 & 12033203.

And Whereas I/we are registered as a client with Angel One Ltd (trading member of Bombay Stock Exchange Ltd.,
National Stock Exchange of India Ltd., Metropolitan Stock Exchange of India Ltd., National Commodity & Derivatives Exchange
Ltd., and Multi Commodity Exchange of India Ltd for dealing in the securities market.

Dear Sir/Madam,

I/We executing the Demat Debit and Pledge instruction in favour of Angel One Ltd., authorising them to operate aforesaid
beneficiary account for the below mentioned specific purpose.

Signature required in all 4 column

S.No.	Purpose	Signature of First Holder	Signature of Second Holder	Signature of Third Holder
1	Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the same stock broker	1st Holder Signature	2nd Holder Signature	Signature
2	Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.	1st Holder Signature	2nd Holder Signature	Signature
3	Mutual Fund transactions being executed on Stock Exchange order entry platforms	1st Holder Signature	2nd Holder Signature	Signature
4	Tendering shares in open offers through Stock Exchange platforms	1st Holder Signature	2nd Holder Signature	Signature

This authorization will continue to remain valid until revoked in writing by me/us. Such revocation or termination shall in no way affect the validity of this document (DDPI) with reference to any transactions initiated by me/us, prior to the actual receipt by the Attorney of the notice of such revocation or termination, as above.

I/We accept (For Angel One Ltd.)



Authorised Signature: _____ Date: _____

SCHEDULE 1 - LIST OF DEMAT ACCOUNTS

Depository Participant Name	Demat A/c No.	Exchange	Name / Type	Account Purpose	CMBPID
ANGEL ONE LIMITED	1203320006951435	NSE	CDSL - NSE POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1203320008188083	NSESLB	CDSL - SLBM POOL ACCOUNT	Payin & Payout Account	
ANGEL ONE LIMITED	1100001100017670	NSE	NSE EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1100002300001190	NSESLB	SLBM EARLY PAY IN	Early Payin Account	
ANGEL ONE LIMITED	1203320186015090	NSE	CDSL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ICICI BANK LIMITED	IN30134820306643	NSE	NSDL Client Unpaid Securities Pledge Account	TM/CM CUSPA	
ANGEL ONE LIMITED	1203320030135829	NSE	CLIENT SECURITIES MARGIN FUNDING ACCOUNT	MFT Pledge Account	
ANGEL ONE LIMITED	1203320030135814	NSE	CLIENT SECURITIES MARGIN PLEDGE ACCOUNT	Margin Pledge Account	
ILFS	IN30009510184021	NSE	NSDL - NSE POOL ACCOUNT	Payin & Payout Account	IN556929
HDFC BANK	IN30012610003588	BSE	NSDL BSE POOL ACCOUNT	Payout Account	In606125
ANGEL ONE LIMITED	1203320000006564	BSE	CDSL BSE POOL ACCOUNT	Payout Account	
ANGEL ONE LIMITED	1203320000006579	BSE	CDSL BSE PRINCIPAL ACCOUNT	Payin Account	
ANGEL ONE LIMITED	1100001000014641	BSE	BSE EARLY PAY IN	Early Payin Account	

Note: In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature

Date:

Kindly
mentioned

To,
Angel One Ltd.
601, 6th Floor, Ackruti Star,
Central Road, MIDC, Andheri East,
Mumbai - 400093.

Client Code: _____ Demat Account Number: _____

Client Name: **Client's Name** _____

I hereby declare following details:

Mobile No: **Mentioned the number which is mentioned in KYC form** the number belongs to **Mentioned Name of the person whose the number belongs to with existing details** _____

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Authorised Signatory (non-individual category)

Tick mark on any one option to update the relation of the person whose number belongs to

Email Id: **Mentioned the Email ID which is mentioned in KYC form** the email Id belongs to **Mentioned Name of the person whose the Email ID belongs to with existing details** _____

☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Parents ☐ Authorised Signatory (non-individual category)

Tick mark on any one option to update the relation of the person whose email ID belongs to

Signature of related person: **Signature of related person whose number and email ID is matching with existing details**

Note:

- As per SEBI Circular No. CIR/MIRSD/15/2011 dated August 02, 2011 investor will receive SMS & Email alerts on the given Mobile No. & Email Id.
- As per the regulatory guidelines, use of common email id and mobile number is permissible for Family members which includes Self, Spouse, Dependent Parents and Dependent Children. For Non-individual client category the permissible relationship can be of Authorised signatory. Family Flag is required to be updated as 'Y' in all such Demat Accounts
- Client may please note that Mobile No and/or email ID of Trading Member (TM) / Employees of TM / Authorised Person are not permitted to receive the SMS / Email Communication from Exchanges on behalf of client.
- Valid Email Id & Mobile Number is mandatory for all New as well as Existing Clients. In case of repetitive bounce observation, member reserves right to suspend the account for want of valid KYC details.



**1st Holder Signature
Required**

(1st Authorized Signatory)



**2nd Holder Signature
Required**

(2nd Authorized Signatory)



(3rd Authorized Signatory)



Registered / Corporate Office: 601, 6th Floor, Ackruti Star, Central Road, MIDC, Andheri East, Mumbai - 400093.

Tel.: 18001020 Website: www.angelone.in CIN: L67120MH1996PLC101709

Member: Bombay Stock Exchange Ltd. / National Stock Exchange of India Ltd./ Metropolitan Stock Exchange of India Ltd. / Multi Commodity Exchange of India Ltd. / National Commodity & Derivatives Exchange Ltd. / CDSL-Depository Participant

SEBI Registration No.: INZ000161534

BSE & NSE Cash Segment

TM Code - BSE 612 TM Code - NSE 12798

BSE & NSE Commodities Derivative Segment

TM Code - BSE 612 TM Code - NSE 12798

BSE & NSE F&O Segment (Trading Cum Clearing Member)

TM Code - BSE 612 TM Code - NSE 12798

NSE Currency Derivatives Segment (Trading cum Clearing Member)

TM Code - NSE Currency 12798

BSE Currency Derivatives Segment (Trading Cum Clearing Member)

TM Code - 612

Multi Commodity Exchange of India Ltd. (Trading cum Clearing Member)

TM Code - 12685

National Commodity & Derivatives Exchange Ltd. (Trading cum Clearing Member)

TM Code - 00220

NSE SLBM segment (Trading Cum Clearing Member)

TM Code 12798

CDSL-Depository Participant - SEBI Registration No.: IN-DP-CDSL-234-2004 Date: 12/5/2004 |

CDSL DP ID: 12033200, 12033201, 12033202, 12033203 & 12033204

For any grievance/dispute please contact Angel One Limited at the above address or email id: support@angelone.in and PhoneNo.:18001020. In case not satisfied with the response, please contact the concerned exchange (s):

BSE Email: is@bseindia.com & Tel.: (022) 2272 8097 | NSE Email: ignse@nse.co.in & Tel.: (022) 2659 8190 | MCX Email: customersupport@mcxindia.com & Tel No: (022) 6731 8888 | NCDEX Email: askus@ncdex.com & Tel No: (022) 6640 6899

Compliance Officer Name: Mr. Bineet Jha. Tel.: 18001020. Email ID: compliance@angelbroking.com

CMD Name: Mr. Dinesh Thakkar - 08657864229 . Email ID: support@angelone.in

Also refer our website: www.angelone.in for updated details, if any.



For details: Please contact your nearest branch or call
Centralized Helpdesk at: 18001020
or write to support@angelone.in